

**BMST 2025:  $p$ -ADIC NUMBERS**  
**EXERCISE SHEET 3**

The following exercise shows that many of the properties of the  $p$ -adic integers are shared with rings of power series with coefficients in a field.

**Exercise 1.** Do the analysis of chapter 3 but starting with the field  $K(T)$  of rational fractions with coefficients in a field  $K$ , equipped with the  $T$ -adic absolute value  $|\cdot|_T := \exp(-v_T(\cdot))$ . Namely:

- (1) What is its valuation ring, maximal ideal, and residue field ?
- (2) Denote by  $L$  its completion and  $\mathcal{O}_L$  the corresponding valuation ring. Identify  $\mathcal{O}_L$  as the ring of formal power series  $K[[T]]$  with coefficients in  $K$ . What is its maximal ideal ? What is its residue field ? More generally, describe its ideals and the corresponding quotient rings.
- (3) Write  $K[[T]]$  as a limit.
- (4) When is  $K[[T]]$  compact for the topology induced by the  $T$ -adic valuation ?
- (5) Deduce from your answer above an example of complete non-archimedean field, with a discrete absolute value, that is not locally compact.

In a way, the  $p$ -adic integers behave like power series in a formal variable  $p$  with coefficients in  $\mathbb{F}_p$ , except that  $(p-1) \cdot p^0 + 1 \cdot p^0 = 1 \cdot p^1$  and other similar phenomena, so addition and multiplication is not performed term-by-term as in power series.

In a non-archimedean field with a (non-trivial) discrete valuation, a *uniformizer* is a generator  $\pi$  of the maximal ideal  $\mathfrak{m}$ , i.e. an element of maximal absolute value strictly less than 1.

**Exercise 2** (Canonical lifts). Let  $p$  be a prime and let  $K$  be a non-archimedean normed field with valuation ring  $\mathcal{O}_K$ , maximal ideal  $\mathfrak{m}$  and residue field  $k$ . We assume that  $p \in \mathfrak{m}$ .<sup>1</sup> Recall that for  $1 \leq k \leq p-1$ , the binomial coefficient  $\binom{p}{k}$  is divisible by  $p$ .

- (1) Show that for  $x, y \in \mathcal{O}_K$ , if  $|x-y| < 1$  then for  $t = \max(|p|, |x-y|) < 1$  we have  $|x^{p^k} - y^{p^k}| \leq t^{k+1}$  for all  $k \geq 1$ .
- (2) Reformulate this in terms of congruences in the case where the valuation is discrete.

We now assume that  $K$  is complete with a discrete valuation and that the residue field  $k$  of  $K$  is perfect, that is such that any  $x \in k$  has a (unique)

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<sup>1</sup>This means that either  $p = 0$  in  $K$ , or  $K$  is of characteristic 0 and contains  $\mathbb{Q}$  with its  $p$ -adic absolute value. Thus, there always exists such a  $p$ .

$p$ -th root (i.e.  $y \mapsto y^p$  is an automorphism of  $k$ , since  $p = 0$  in  $k$ ). We let  $\pi \in \mathfrak{m}$  be a uniformizer.

- (3) For  $x \in k$ , let  $x^{p^{-k}}$  denote its unique  $p^k$ -th root. For each  $k \in \mathbb{N}$ , choose a lift  $\widetilde{x^{p^{-k}}} \in \mathcal{O}_K$  of  $x^{p^{-k}}$ . Show that the expression

$$\tau(x) := \lim_{k \rightarrow \infty} \left( \widetilde{x^{p^{-k}}} \right)^{p^k}$$

is well-defined and independent of the choice of lifts.

- (4) Deduce that the map  $\tau : k \rightarrow \mathcal{O}_K$  is a multiplicative injection with image a complete set of representative of classes modulo  $\mathfrak{m}$ .
- (5) Show that if  $K$  is of characteristic  $p$ ,  $\tau$  is a field embedding of  $k$  in  $K$  with image in  $\mathcal{O}_K$ , and  $\pi \circ \tau = \text{id}$  where  $\pi : \mathcal{O}_K \rightarrow k$  denotes the canonical projection.
- (6) Show that every element of  $\mathcal{O}_K$  has a unique representation in base  $\pi$  with coefficients in the image of  $\tau$  (*Hint*: think of how we proved that elements in  $\mathbb{Q}_p$  have a base  $p$  expansion).
- (7) Deduce that if  $K$  is a complete non-archimedean normed field of characteristic  $p$  with a non-trivial discrete valuation and a perfect residue field, there are isomorphisms of normed rings  $\mathcal{O}_K \simeq k[[X]]$  and normed fields  $K \simeq k((X))$ , where  $k((X))$  has the  $X$ -adic valuation.